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TO: Commissioner for Patents
Attn: Examiner Adnan M. Mirza
Group Art Unit 2141
Patent Examining Corps
Facsimile Center
Washington, D.C. 20231

FROM: David W. Victor

OUR REF: 0054.0029
TELEPHONE: 310-556-7983

Total pages, including cover letter: 10

PTO FAX NUMBER 1-703-872-9306

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Description of Documents Transmitted: POWER OF ATTORNEY AND CHANGE OF
CORRESPONDENCE ADDRESS

Applicant: B. Hawks et al.
Serial No.: 09/579,864
Filed: May 25, 2000
Group Art Unit: 2141
Docket No.: STL920000034US1

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on
March 2, 2005

By: 
Name: David W. Victor

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0054.0026

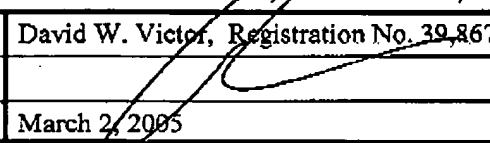
TRANSMITTAL FORM	Application Number	09/579,864
<i>(To be used for all correspondence after initial filing)</i>	Filing Date	May 25, 2000
	Inventor	B. Hawks et al.
	Group Art Unit	2141
	Examiner Name	Adnan M. Mirza
Total Number of Pages in this Submission: 9	Attorney Docket Number	STL920000034US1

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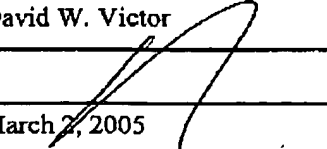
ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits /Declarations <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement; ___ references <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Copy of Notice of Recordation of Assignment <input type="checkbox"/> Formal Drawings: ___ sheets <input type="checkbox"/> Licensing-related papers <input type="checkbox"/> Petition: <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney and Correspondence Address Indication Form <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) ___ <input type="checkbox"/> After Allowance Communication to Group	<input type="checkbox"/> Certificate of Correction of Applicant's Mistake (37 CFR 1.323) <input type="checkbox"/> Certificate of Correction of Office Mistake (37 CFR 1.322) <input type="checkbox"/> Appeal Communication to Group (<i>Appeal Notice, Brief, Reply Brief</i>) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Fee Address Indication Form <input checked="" type="checkbox"/> Statement Under 37 CFR 3.73(b)
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Name:	David W. Victor, Registration No. 39,867
Signature:	
Date:	March 2, 2005
KONRAD RAYNES & VICTOR, LLP 315 South Beverly Drive, Suite 210 Beverly Hills, California 90212 (310) 556-7983	
<input checked="" type="checkbox"/> The Commissioner is authorized to charge any deficiency of fees, or credit any overpayment to Deposit Account No. 09-0460	

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to Commissioner for Patents, Alexandria, VA 22313-1450, or being facsimile transmitted to the USPTO, on the date indicated below.		
Typed or Printed name:	David W. Victor	Customer No. 47069
Signature:		
Date:	March 2, 2005	

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	B. Hawks et al.	Examiner	2141
Serial No.	09/579,864	Group Art Unit	Adnan M. Mirza
Filed	May 25, 2000	Docket No.	STL920000034US1
TITLE	METHOD OF, SYSTEM FOR, AND COMPUTER PROGRAM PRODUCT FOR PROVIDING A DATA STRUCTURE FOR CONFIGURING CONNECTIONS BETWEEN A LOCAL WORKSTATION FILE SYSTEM AND A REMOTE HOST FILE SYSTEM		

POWER OF ATTORNEY AND
CORRESPONDENCE ADDRESS INDICATION FORM

I hereby revoke all previous powers of attorney given in the above-identified application.

I hereby appoint the Practitioners associated with the Customer Number:

47069


as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to the address associated with the above-mentioned Customer Number.

I am the:

- ☐ Applicant/Inventor
- ☒ Assignee of record of the entire interest. (Statement under 37 CFR 3.73(b) is enclosed)

SIGNATURE of Applicant or Assignee of Record

Signature:		Date:	3-1-05
Name	Lynne D. Anderson	Title	Program Manager - U.S. Patent and Trademark Office Liaison
Company	International Business Machines Corporation		
Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.			
<input type="checkbox"/> *Total of _____ forms are submitted.			

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: International Business Machines CorporationApplication No./Patent No.: 09/579,864Filed/Issue Date: May 25, 2000

Entitled: METHOD OF, SYSTEM FOR, AND COMPUTER PROGRAM PRODUCT FOR PROVIDING A DATA STRUCTURE FOR CONFIGURING CONNECTIONS BETWEEN A LOCAL WORKSTATION FILE SYSTEM AND A REMOTE HOST FILE SYSTEM

International Business Machines Corporation
(Name of Assignee)

Corporation
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____%

in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011154, Frame 0888, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

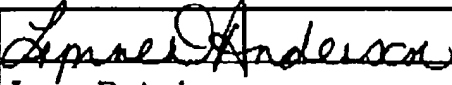
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to: Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO]

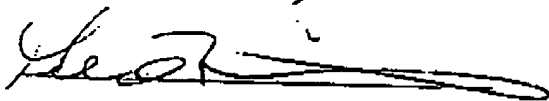
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Signature:		Date:	<u>3-1-05</u>
Name	Lynne D. Anderson	Telephone Number	703-299-1455
Title	Program Manager - U.S. Patent and Trademark Office Liaison		

LETTER OF AUTHORITY

I, Gerald Rosenthal, Vice President, Intellectual Property and Licensing of International Business Machines Corporation (IBM), a New York corporation, do hereby delegate the authority to approve and execute documents on behalf of IBM relating to proceedings in the Patent, Trademark Registration or Copyright Offices servicing any country or region of the world, or to related appeal proceedings, including, but not limited to: petitions; powers of Attorney; authorizations; verification; nominations of representatives; declarations; documents relating to maintenance and defense of the resulting industrial property rights; assignments of rights to apply for and acquire patents and trademark registrations; and evidence of such assignments; requests for the registration of patents as available for licensing; reports of inventions and petitions for waiver of patent rights to any department or agency of the United States Government; and, assignments, licenses and other instruments confirmatory of Government rights in patents and inventions, to Lynne D. Anderson, Program Manager, Patent Office Liaison.

Date: April 6, 2004



Gerald Rosenthal
Vice President, Intellectual Property & Licensing
International Business Machines Corporation



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

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DECEMBER 08, 2000

IBM CORPORATION
PRENTISS WAYNE JOHNSON
INTELLECTUAL PROPERTY LAW
555 BAILEY AVENUE
SAN JOSE, CALIFORNIA 95141-1003

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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 09/12/2000

REEL/FRAME: 011154/0888
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HAWKS, BRENT C.

DOC DATE: 09/06/2000

ASSIGNOR:

JOHNSON, EDMUND

DOC DATE: 08/30/2000

ASSIGNOR:

MAZO, GARY I.

DOC DATE: 09/06/2000

ASSIGNOR:

NICHOLLS, PETER A.

DOC DATE: 08/28/2000

ASSIGNOR:

SHEFTMAN, IRA L.

DOC DATE: 09/06/2000

ASSIGNEE:

INTERNATIONAL BUSINESS MACHINES
CORPORATION
NEW ORCHARD ROAD
ARMONK, NEW YORK 10504

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011154/0888 PAGE 2.

SERIAL NUMBER: 09579864
PATENT NUMBER:

FILING DATE: 05/25/2000
ISSUE DATE:

ALLYSON PURNELL, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

DOCKET: STL9-2000-003-175

US Department of Commerce
Patent & Trademark Office

10-18-2000



101490065

To the Honorable Commissioner of
or copy thereof.

record the attached original documents

1. Name of conveying party(ies):

Brent C. Hawks
Edmund Johnson
Gary I. Mazo
Peter A. Nicholls
Ira L. Sheftman

2. Name and address of receiving party(ies):

Name: International Business
Machines CorporationStreet Address: New Orchard Road
City: Armonk State: NY ZIP: 10504Additional name(s) of conveying
party(ies) attached ☐ Yes ☒ NoAdditional name(s) & address(es) attached?
☐ Yes ☒ No

3. Nature of conveyance:

XX Assignment

Execution Date: 9/6/2000; 8/30/2000; 9/6/2000;
8/28/2000; 9/6/2000.

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the
application is:A. Patent Application No. (s)
09/579,864

B. Patent No. (s)

Additional numbers attached? ☐ Yes ☒ No5. Name and address of party to whom
correspondence concerning document
should be mailed:Name: IBM Corporation
Internal Prentiss Wayne Johnson
Address: Intellectual Property Law
Street 555 Bailey Avenue
Address: San Jose, CA 95141-10036. Total Number of applications and patents
involved: One7. Total fee (37 CFR 3.41).....\$ 40.00
EnclosedXX Authorized to be charged to
deposit account
(duplicate enclosed)

8. Deposit Account Number: 09-0460

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any
attached copy is a true copy of the original document.

Prentiss Wayne Johnson

September 7, 2000
Date

Total number of pages including cover sheet, attachments, and document: 13/

10/17/2000 GTQ11 00000216 090460 09579864

1. FC:581 40.00 CH

ASSIGNMENT

Docket No. STL9-2000-0034US1

COPY

Whereas, we

INVENTOR
AND CITY

(1) Brent C. Hawks
County of Santa Clara
and (2) Edmund Johnson
County of Orange County

and (3) Gary Mazo
County of Santa Clara

and (4) Peter A. Nicholls
Province of Ontario

and (5) Ira L. Sheftman
County of Santa Clara

of Hollister,
and State of California,
of Mission Viejo

and State of California,

of San Jose,
and State of California,

of Toronto
and Country of Canada,

of San Jose,
and State of California,

have invented certain improvements in

TITLE

"Method of, System For, and Computer Program Product for Providing a Data Structure for Configuring Connections Between a Local Workstation File System and a Remote Host File System"

DATES THAT
INVENTORS
SIGNED THE
DECLARATION

and executed, respectively, a United States patent application therefor on

(1) 6 Sep 2000, 2000, (2) 6 September, 2000, (3) September 6, 2000,
(4) August 28, 2000, 2000, (5) 6 September, 2000.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY
DATE

(1) at San Jose
on 6 Sep 2000, 2000,

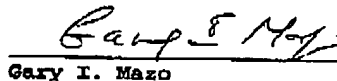
SIGNATURE
INVENTORCITY
DATE

and (2) at _____
on _____, 2000,

Edmund Johnson

SIGNATURE
INVENTORCITY
DATE

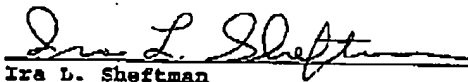
and (3) at SAN JOSE
on SEPTEMBER 6, 2000,

SIGNATURE
INVENTORCITY
DATE

and (4) at Toronto
on August 28, 2000,

SIGNATURE
INVENTORCITY
DATE

and (5) at SAN JOSE
on SEPTEMBER 6, 2000,

SIGNATURE
INVENTOR

Page 1 of 1

ASSIGNMENT

Docket No. STL9-2000-0034US1

COPY

Whereas, we

INVENTOR
AND CITY

(1) Brent C. Hawks
County of Santa Clara
and (2) Edmund Johnson
County of Orange County

and (3) Gary Mazo
County of Santa Clara

and (4) Peter A. Nicholls
Province of Ontario

and (5) Ira L. Sheftman
County of Santa Clara

of Hollister,
and State of California,
of Mission Viejo
and State of California,
of San Jose,
and State of California,
of Toronto
and Country of Canada,
of San Jose,
and State of California,

have invented certain improvements in

TITLE

"Method of, System For, and Computer Program Product for Providing a Data Structure for Configuring Connections Between a Local Workstation File System and a Remote Host File System"

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and executed, respectively, a United States patent application therefor on

(1) _____, 2000, (2) August 30, 2000, (3) _____, 2000,
(4) _____, 2000, (5) _____, 2000.

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed and sealed

CITY
DATE

(1) at _____
on _____, 2000,

Brent C. Hawks SIGNATURE
INVENTOR

CITY
DATE

and (2) at Mission Viejo, CA
on Aug 30, 2000,

Edmund Johnson SIGNATURE
INVENTOR

CITY
DATE

and (3) at _____
on _____, 2000,

Gary I. Mazo SIGNATURE
INVENTOR

CITY
DATE

and (4) at _____
on _____, 2000,

Peter A. Nicholls SIGNATURE
INVENTOR

CITY
DATE

and (5) at _____
on _____, 2000,

Ira L. Sheftman SIGNATURE
INVENTOR